

# International Encyclopedia of Civil Society

Helmut K. Anheier, Stefan Toepler, Regina List (Eds).

# International Encyclopedia of Civil Society

With 40 Figures and 44 Tables

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# Preface

The purpose of the *International Encyclopedia of Civil Society* is twofold. First, it is to take intellectual stock and offer an authoritative assessment of knowledge of and for a field of study that has expanded greatly in recent decades. This field, however, does not present itself as a settled or unified body of theories and facts; nor is it easily accessible to experts, students and practitioners. Instead, the field consists of several overlapping substantive areas such as civil society, social capital, philanthropy, voluntarism, civic engagement, etc., and cuts across a range of social science disciplines that include economics, sociology, political science, history, the law, and psychology.

The second purpose of the *Encyclopedia* is to introduce greater conceptual clarity to an increasingly perplexing terminology that has been developing in the field of civil society, philanthropy, nonprofit or nongovernmental organizations, voluntarism, etc. over time. Confusion about the meaning of volunteering, association, civil society, nonprofit organizations, foundations, and charity has handicapped communication and understanding, particularly in inter-disciplinary and international contexts.

In the past, the terminological tangles so characteristic of this field may well have mattered less, yet as this set of institutions has achieved greater social, economic and policy relevance, greater clarity now seems more urgent. Indeed, the lack of a multi-disciplinary and international Encyclopedia dedicated to civil society and related topics has long been a major complaint among teachers, students, and researchers in the field, as well as among practitioners and policy-makers around the world. The present *Encyclopedia* was developed with these multiple audiences and uses in mind.

Though an unsettled, evolving body of knowledge with a complex, even confusing terminology could be seen as a chronic weakness of a research agenda, curriculum or policy field, we believe that in the case of civil society it is rather a sign of intellectual fertility. In the context of this evolving intellectual field, we have sought to offer an inventory of the conceptual landscape rather than set some standards—however defined— or privilege one definition over another. Nonetheless this meant that we had to come to terms with the diversity and richness of institutions, organizations and behaviors located between the ‘market’ and the ‘state’— a task complicated by the great profusion of terms.

Selecting the entries for this *Encyclopedia* was a complex process that involved many difficult choices. A basic premise was that given the relative newness of the field, the *Encyclopedia* should not only cover terms and concepts but also include entries on internationally relevant organizations and personalities in the field of civil society. Another premise was that the *Encyclopedia* should genuinely be internationally-oriented and provide coverage of concepts, traditions, and institutions from different parts of the world, thereby acknowledging the diversity of the field from a cross-national perspective.

The field of civil society studies is a conglomerate of separate intellectual approaches and traditions that are reflected in this *Encyclopedia* and include:

- the resurgent interest in civil society across the social science and policy fields, particularly since the 1990s;
- the older traditions of civil society from Antiquity through the early 20<sup>th</sup> century, with several distinct intellectual, national and regional traditions;
- a tradition of philanthropic studies, largely US-based and focused on the role of foundations and philanthropy in American society;
- an emphasis on nonprofit organizations in economics since the 1980s;
- the rich intellectual approaches to voluntary associations, voluntarism and civic engagement in sociology;
- the anthropology and ethnology of voluntary associations and voluntarism;
- the different approaches to social capital in sociology and political science;
- work on altruism and helping behavior in psychology and economics;
- the study of advocacy, new social movements, interest associations and political associations in political science;
- the welfare state literature in political science, sociology and economics;
- the burgeoning literature on nongovernmental organizations in developmental studies and international relations;
- the study of the social economy and its institutions in Europe and other parts of the world; and, of course,
- the impact of different legal systems (common law and civil law) and traditions.

**Table 1** Encyclopedia concept with entry samples

	CIVIL SOCIETY	PHILANTHROPY	NONPROFIT AND NONGOVERNMENTAL ORGANIZATIONS	SOCIAL CAPITAL
Substantive entries	Associations, definitions and history Civil society history Civil society theory Civility Civic culture	Foundations, definitions and history Charity Gift, Giving Wakfs Venture Philanthropy	Theories of nonprofit organizations Partnerships Third party government Social investment Social entrepreneurship	Civic participation Reciprocity Social capital, definition Social cohesion Social trust
Organizational profiles	Charta 77 Civicus Transparency International	European Foundation Centre Ford Foundation Tata Trusts	Ashoka BRAC Caritas	Free Masons Boy/Girl Scouts Rochdale Society
Bibliographic entries	De Toqueville, Alexis Ferguson, Adam Dahrendorf, Ralf	Carnegie, Andrew Rowntree, Joseph Soros, George	Dunant, Jean-Henri Yunus, Muhammad	Bourdieu, Pierre Coleman, James Putnam, Robert

In trying to address these different approaches and traditions, we used an approach whereby we developed an initial list of concepts, organizations and personalities to be covered. We did so by specifying four major ‘streams’ that roughly correspond to the intellectual and policy divisions in the field, although much overlap exists among them: civil society, philanthropy, nonprofit and nongovernmental organizations, and social capital. In each of these four ‘streams’, policy fields, traditions, and literatures, we identified key concepts, institutions/organizations and personalities. [Table 1](#) offers an illustration of our approach.

We submitted an initial list to the International Advisory Committee for comments and, in particular, encouraged each member to suggest additions and modifications. After incorporating further suggestions from entry authors and the field at large and several iterations, we arrived at a list that forms the basis of the *Encyclopedia*.

This *Encyclopedia* includes 628 entries: 267 conceptual terms, 222 organizations and institutions; and 139 biographies – available both in hard print copy and in electronic format accessible via the Internet. Entries of similar type, e.g., regionally focused entries, civil society history essays, biographical or organizational entries, follow a standardized structure. All entries are arranged in alphabetical order from A to Z, are cross-referenced, and provide suggestions for further readings. They represent original contributions by the corresponding authors; editorial input was for the most part reserved for structural aspects.

Of course, in a first edition, any encyclopedia will suffer from omissions and unevenness in the treatment and the quality of entries. We hope that future editions will remedy such shortcomings and build on knowledge we have managed to assemble in the course of this initial intellectual stock-taking.

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# Acknowledgements

Many people have been involved in the development of the *Encyclopedia*. Cooperation is in the very nature of the encyclopedia 'genre', and it requires the cooperation and goodwill of many. First, we owe deep gratitude to our collaborators at Springer, especially Teresa Krauss, who in the best of editorial traditions approached us to take on this task in the first place. We are also grateful to Michaela Bilic and Jennifer Carlson, as well as their assistants Katherine Chabalko, Susan Bednarczyk and Saskia Ellis, who all kept us going throughout this process and were always there to give a helping hand. Their patience in guiding us through numerous international conference calls made the difference between feelings of progress rather than frustration.

We are also grateful to the members of the International Advisory Committee, listed on a separate page, who lent their expertise and not only advised us on the content of the *Encyclopedia*, but also recommended suitable authors and, in many cases, contributed entries. In particular, Myles McGregor-Lowndes, Jürgen Kocka, Craig Calhoun, Marlies Glasius, Dave Brown, Susan Pharr, Alnoor Ebrahim, Hagai Katz, and Eva Kuti stand out, and their contributions are well beyond what we could have reasonably expected. They are outstanding exemplars of the kind of scholars one hopes to have involved in projects like this one. Special thanks are also due to Ebenezer Obadare (University of Kansas) and Samiul Hasan (United Arab Emirates University), who offered advice regarding their areas of regional expertise, and to David Horton Smith for his wise advice.

At the University of Heidelberg and George Mason University, we thank our research assistants, Anael Labigne, Martin Hölz, Francisco Martinez, Filip Zielinski, and Jan Sacharko, who conducted background research, especially for many of the biographical and organizational entries.

Of course, we could not have done this without the contributions of nearly 400 authors, among them scholars and practitioners – both renowned and up-and-coming – from every major world region, who prepared their entries – in many cases more than one – on a voluntary basis. We are especially grateful to those who came in at the last minute to fill in on those occasions where the originally assigned authors had to drop out.



## About the Editors-in-Chief



Helmut K. Anheier (Ph.D. Yale University, 1986) is Professor of Sociology, Heidelberg University, and Academic Director of the Center for Social Investment and Professor of Sociology. From 2001 to 2009 he was Professor of Public Policy and Social Welfare at UCLA, and Centennial Professor at the London School of Economics. He founded and directed the Centre for Civil Society at LSE (1998–2002), the Center for Civil Society at UCLA (2001–2009), and the Center for Globalization and Policy Research (2004–2009), also at UCLA. Prior to this he was a Senior Research Associate and Project Co-director at the Johns Hopkins University Institute for Policy Studies, and Associate Professor of Sociology at Rutgers University. Before embarking on an academic career, Dr. Anheier served as Social Affairs Officer to the United Nations.

Dr. Anheier's work has focused on civil society, the nonprofit sector, philanthropy, organizational studies, policy analysis and comparative methodology. He is author of over 300 publications, including over thirty authored and edited books. He has won several national and international awards, including the 2006 PNP Best Book Award of the American Academy of Management. He is currently researching the role of philanthropy internationally, and interested in methodological questions at the intersection of globalization, civil society, and culture. Dr. Anheier serves on the board of several scholarly and philanthropic organizations.





Stefan Toepler (Dr. rer.pol., Free University of Berlin, 1995) is Associate Professor of Nonprofit Studies in the Department of Public and International Affairs at George Mason University in Virginia, USA. Prior to joining George Mason in 2002, he was on the faculty of the Institute of Policy Studies at Johns Hopkins University, where he coordinated, inter alia, the legal, Central European and Middle Eastern components of the Comparative Nonprofit Sector Project. Dr. Toepler was a doctoral fellow of Deutsche Forschungsgemeinschaft at the John F. Kennedy Institute for North American Studies at the Free University of Berlin from 1992 to 1995, a Philanthropy Fellow at Johns Hopkins in 1993/94; and a Fellow in Museum Practice at the Smithsonian Institution in 2004.

Dr. Toepler's research interests range from the study of philanthropic foundations and nonprofit management and policy more generally to arts policy and cultural economics. With over 70 publications in both English and German, his work has appeared in such journals as *Nonprofit and Voluntary Sector Quarterly*, *Nonprofit Management & Leadership*, *Journal of Policy Analysis and Management*, *Administration & Society*, the *International Journal of Public Sector Management*, the *International Journal of Public Administration*, the *Journal of Cultural Economics*, and *Voluntas-International Journal of Voluntary and Nonprofit Organizations*. He is the contributing co-editor of *Private Funds, Public Purpose: Philanthropic Foundations in International Perspective* (New York: Kluwer/Plenum, 1999); *Global Civil Society: Dimensions of the Nonprofit Sector* (Baltimore: Johns Hopkins Center for Civil Society Studies, 1999)—winner of Independent Sector's 2001 Virginia Hodgkinson Research Prize; and *The Legitimacy of Philanthropic Foundations: US and European Perspectives* (New York: Russell Sage Foundation, 2006).

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Regina A. List is an independent editor, researcher and writer living in Hamburg, Germany. She is also Managing Editor of the *Journal of Civil Society*. Previously, she served as Research Projects Manager at the Johns Hopkins Center for Civil Society Studies and Co-Ordinator for Developing Countries for the Johns Hopkins Comparative Nonprofit Sector Project, as well as overall Project Manager. She is co-editor with Helmut K. Anheier of *A Dictionary of Civil Society, Philanthropy and the Non-Profit Sector*, and co-author of *Global Civil Society: Dimensions of the Nonprofit Sector, Volume One*, and *Cross-border Philanthropy*, among other works. After completing an M.A. in International Development at the American University (Washington, DC), she served as Program Co-ordinator and Executive Director of the Esquel Group Foundation.



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